

## Exercise 9

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### 1 Serializability

	read (x)		read (d)		read (a)
$T_1$	write (b)	$T_2$	read (a)	$T_3$	write (a)
	read (c)		write (y)		write (b)
	write (x)				write (x)

The transactions  $T_1, T_2$  and  $T_3$  shall be executed concurrently. For this purpose a database management system utilizing the two-phase locking protocol is used. The transactions are processed using a round-robin strategy  $(T_1, T_2, T_3, T_1, \dots)$ , which executes one transaction step for a transaction  $T_i$  at a time.

#### Transaction step

1. Retrieve the next **read/write** operation  $op(X)$  of  $T_i$ .
2. If  $T_i$  does not hold the lock for  $X$ : **lock(X)**.
3. Execute  $op(X)$ .
4. Enter the release-phase as soon as possible and perform for each object  $Y$ , not used by  $T_i$  anymore, **unlock(Y)**.

If a lock can not be granted for a transaction, the transaction step will be aborted and the transaction acquires the lock in the next regular step where the lock is free.

#### Assignments

1. Determine the schedule  $S$  the DBMS is going to use in order to execute the transactions.
2. Determine all conflicts in the conflict relation of  $S$ .
3. To which serial plan is  $S$  conflict-equivalent?

## 2 Classes of Schedules

Let

- $S_{ser}$  denote the set of all serial schedules.
- $S_{csb}$  denote the set of all conflict-serializable schedules.
- $S_{2PL}$  denote the set of all schedules which can be generated by a 2PL scheduler.

Two sets can be in various relationships to each other, for example by inclusion ( $S_x \subset S_y$ ), non-empty intersection ( $S_x \cap S_y \neq \emptyset$ ) or disjunction ( $S_x \cap S_y = \emptyset$ ).

Show for each of the following pairs how they relate to each other.

1.  $S_{ser}$  and  $S_{csb}$
2.  $S_{csb}$  and  $S_{2PL}$