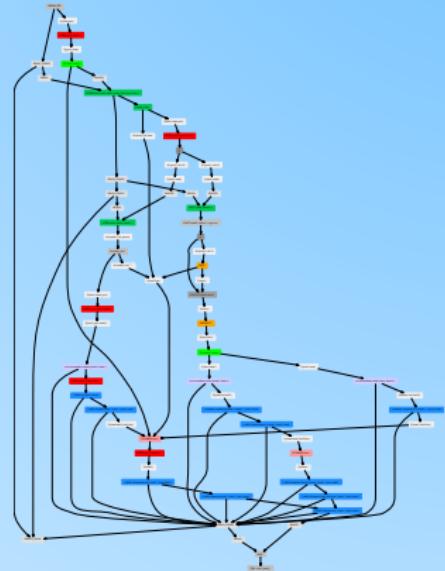


# Pathfinder: XQuery Compilation Techniques for Relational Database Targets

Jens Teubner · Technische Universität München

Joint work with:

**Torsten Grust**, Peter Boncz, Martin Kersten, Maurice van Keulen,  
Stefan Manegold, Sjoerd Mullender, Jan Rittinger, Marc H. Scholl, ...



## Challenge: Construction of a Scalable XQuery Processor

### XQuery:

- tree-structured XML data
- ordered sequences of items:  $(x_1, \dots, x_n)$
- explicit iteration: `for $v in e1 return e2`
- side effects: `element t { e }`

XQuery

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- flat, unordered data model: tables of tuples
- bulk-oriented processing
- no side effects

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This talk bridges the apparent gap.

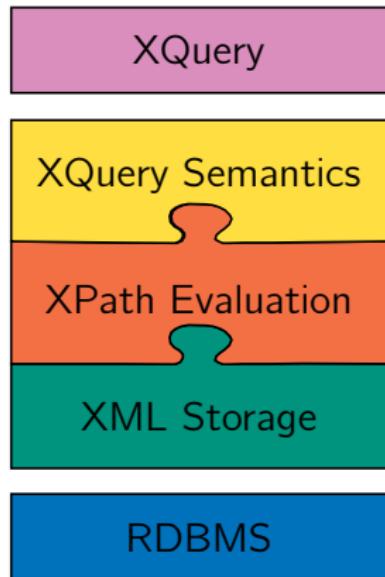
trees, sequences, iteration, side effects

compositional compilation: **loop-lifting**

relational step evaluation: **staircase join**

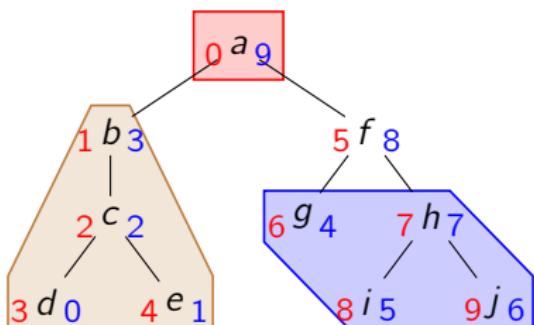
tree encoding: **XPath accelerator**

tables of tuples, relational algebra, SQL

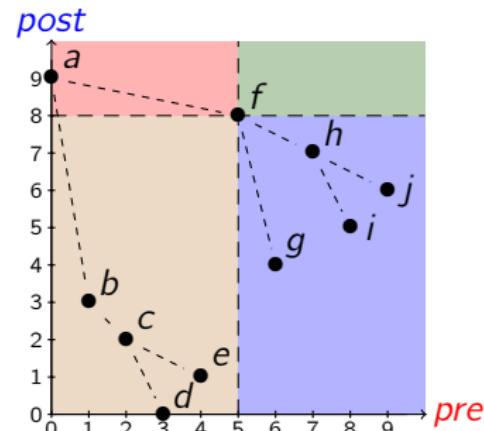


**Pathfinder is a full open-source implementation of these techniques.**

## Pathfinder's XML Storage is based on XPath Accelerator (Grust '02)



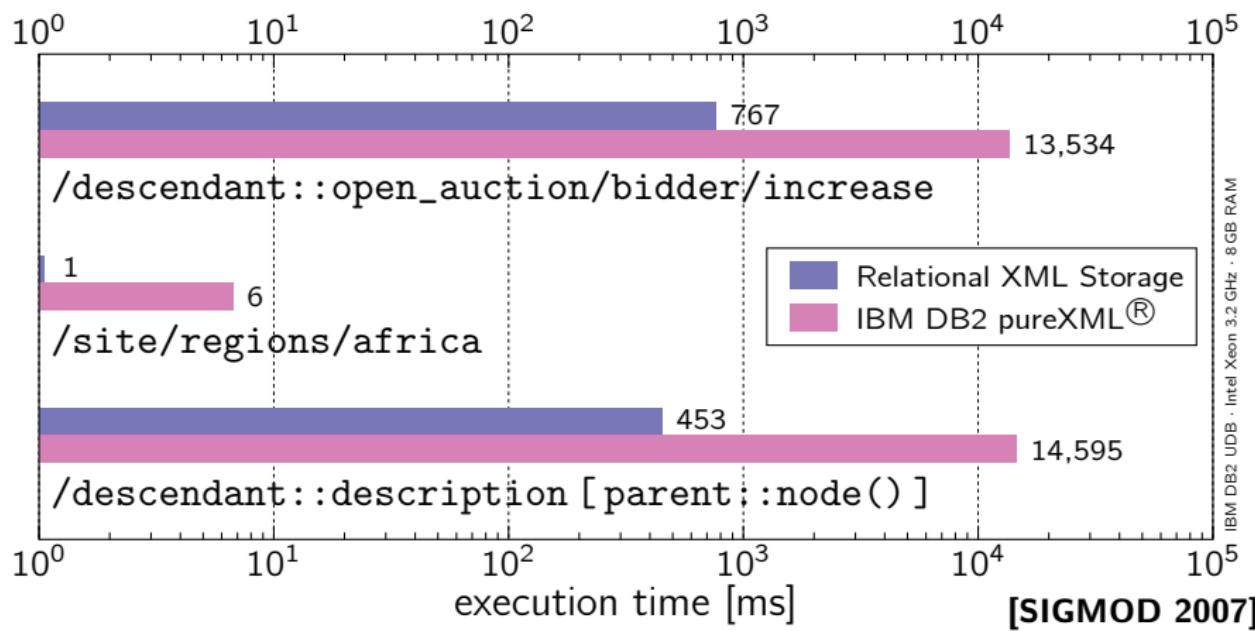
| <i>n</i> | <i>pre</i> | <i>post</i> |
|----------|------------|-------------|
| <i>a</i> | 0          | 9           |
| <i>b</i> | 1          | 3           |
| <i>c</i> | 2          | 2           |
| <i>d</i> | 3          | 0           |
| <i>e</i> | 4          | 1           |
| <i>f</i> | 5          | 8           |
| <i>g</i> | 6          | 4           |
| ⋮        | ⋮          | ⋮           |



- Any encoding providing **node identity/document order** suffices.
- We actually use a variant of this encoding: *pre/size/level*.



Relational XML storage can beat native XPath processors.



- Use B-trees with **low-selectivity** prefixes (e.g., *level*, tag names)!

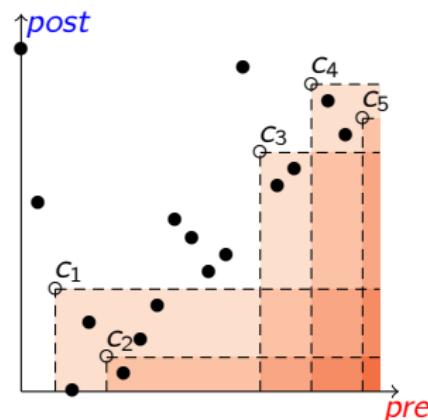
**XPath is the backbone of every XQuery processor.**

## XPath:

- Context is a **set** of nodes
- Document order, duplicate-free result

## Problems:

- Repeated scans over the same area
- Expensive sorting and duplicate elimination



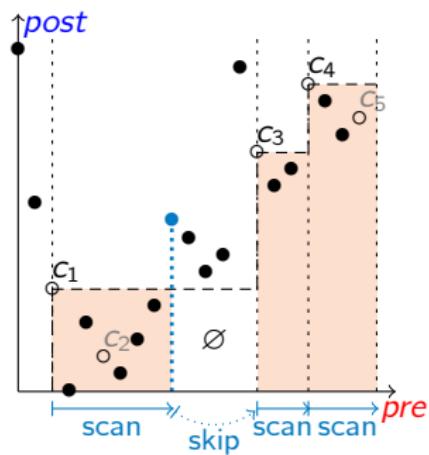
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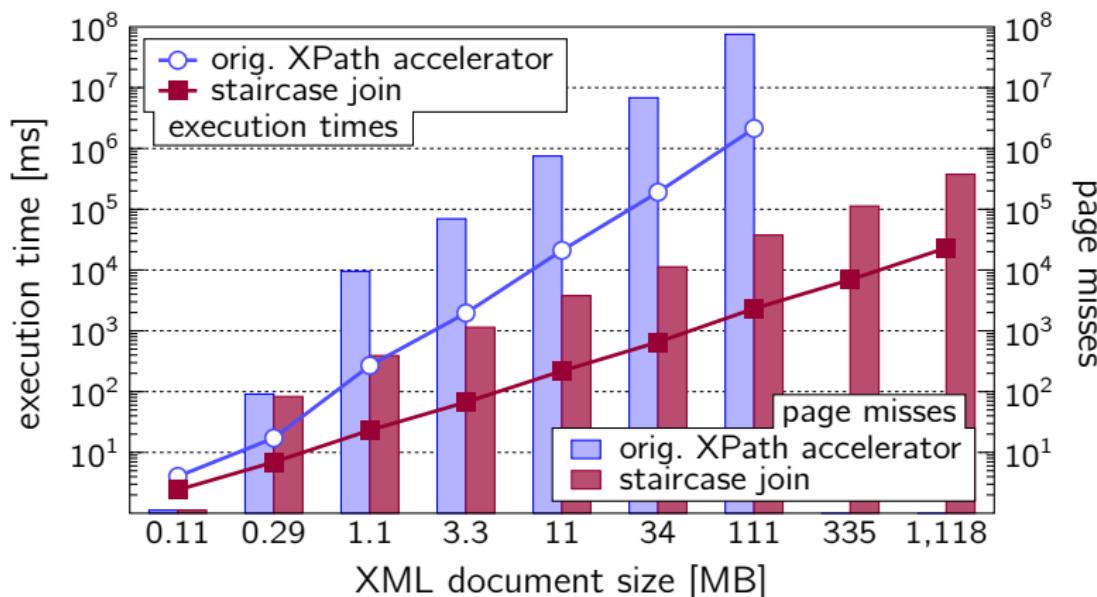
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## Staircase join: [VLDB 2003]

- Encapsulates **tree awareness** in a single join operator
- **Cache-friendly** and **XPath-compliant**

We injected staircase join into PostgreSQL 7.3.



- Query: /descendant::age/ancestor::person

[VLDB 2004 Demo]

## XPath is only part the story.

- Variables and iteration: `for $v in e1 return e2`
- Sequence construction: `(e1, e2)`
- Element construction: `element { e1 } { e2 }`
- Dynamic typing: `e1 instance of e2`
- etc.

## XQuery is a functional language, though.

- Process independent FLWOR iterations in **parallel**
- Use **bulk-oriented** processing capabilities of modern RDBMSs

## Loop-lifting: Encode independent iterations using a single relation.

```
for $x in (1, 2, 3) return $x to 3
```

- Column *iter* labels independent iterations.
- Sequence order is maintained in column *pos*.
- This is the **loop-lifted** encoding of an XQuery item sequence.
- The compilation procedure operates on loop-lifted sequence representations only.

| <i>iter</i> | <i>pos</i> | <i>item</i> |
|-------------|------------|-------------|
| 1           | 1          | 1           |
| 1           | 2          | 2           |
| 1           | 3          | 3           |
| 2           | 1          | 2           |
| 2           | 2          | 3           |
| 3           | 1          | 3           |

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| <i>iter</i> | <i>pos</i> | <i>item</i> | <i>type</i>         |
|-------------|------------|-------------|---------------------|
| 1           | 1          | 1           | $\tau_{\text{int}}$ |
| 1           | 2          | 2           | $\tau_{\text{int}}$ |
| 1           | 3          | 3           | $\tau_{\text{int}}$ |
| 2           | 1          | 2           | $\tau_{\text{int}}$ |
| 2           | 2          | 3           | $\tau_{\text{int}}$ |
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## This representation is highly versatile.

- Item types to support **dynamic type** semantics

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| <i>iter</i> | <i>pos</i> | <i>item</i> | <i>type</i>         | <i>score</i> |
|-------------|------------|-------------|---------------------|--------------|
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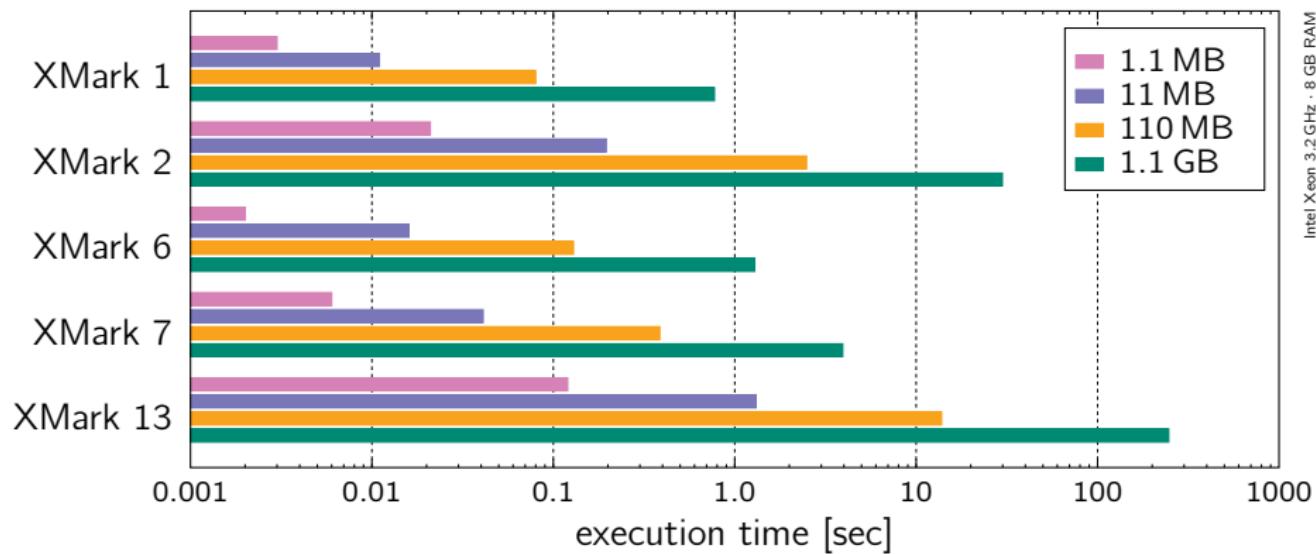
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- Item types to support **dynamic type** semantics
- Additional information to support, e.g., XQuery **full-text** search



**Commodity RDBMSs readily provide all the functionality we need.**

E.g., SQL on IBM DB2 Universal Database V 8.2.



[VLDB 2004]



**Pathfinder is a full implementation of a loop-lifting compiler.**

- **Fully compositional**, in line with the XQuery language

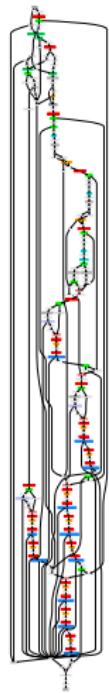
# Pathfinder: A Loop-Lifting XQuery Compiler



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0

112 sec

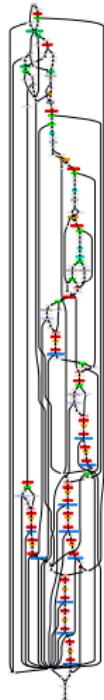
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1 0

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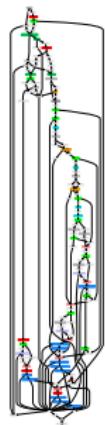
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XMark Q8 · 34 MB · Intel Xeon 3.2 GHz · 8 GB RAM





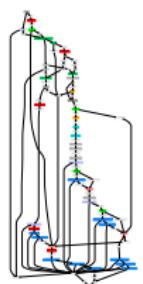
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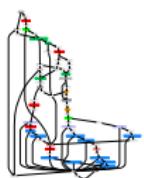
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To optimize relational plans, Pathfinder thus implements

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- 3 **functional dependency** and **data flow analyses**, and
- 4 **algebraic join detection**.

(You saw these optimizations in yesterday's demo session.)

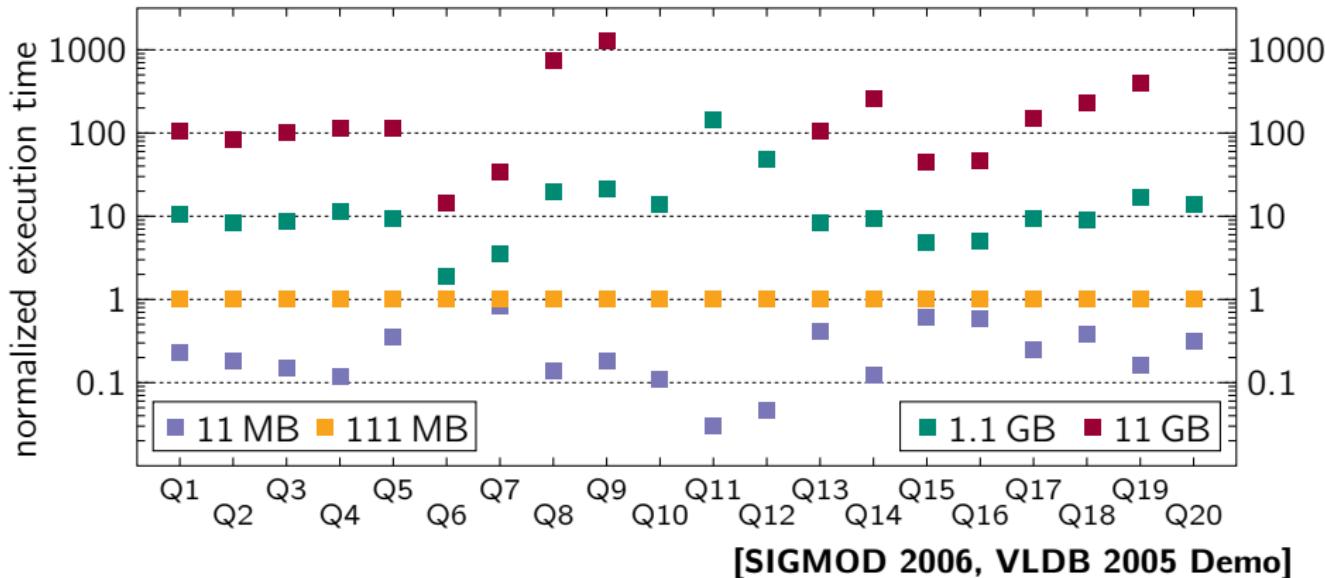


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## Pathfinder targets the main-memory RDMBS MonetDB.

- Queries over multi-gigabyte XML instances answered in **interactive time** (XMark: 18 of 20 queries in  $\ll 1$  min on 1.1 GB)
- Unprecedented **scalability**



## A complete and purely relational XQuery processing stack:



A relational tree encoding, derived from **XPath accelerator**, maps XML document trees into relational tables.

- Re-use of mature storage and indexing techniques

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The **loop-lifting** compilation procedure maps arbitrary XQuery expressions to primitives of relational algebra.

- Implementation of iterative XQuery semantics in terms of efficient, bulk-oriented processing

# Ongoing and Future Work

Pathfinder is an ongoing, joint research project with CWI Amsterdam, U Twente, and U Konstanz.

- Algebraic **optimization**, **cost** and **result size estimation**
- New functionality: **recursion**, **dynamic typing**, and **validation**
- Alternative back-ends: **Idefix** (UKN), **SQL:1999** [SIGMOD 2007]

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- XIRAF: **multi-hierarchical** XML documents
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pathfinder (ˈpa:θ,famdə) *n.* a person who makes or finds a way,  
esp. through unexplored areas or fields of knowledge.

Collins English Dictionary