

# Relational Algebra: Mother Tongue XQuery: Fluent

How to Compile XQuery Expressions into a Relational Algebra

Torsten Grust    Jens Teubner

University of Konstanz  
Dept. of Computer and Information Science  
Konstanz, Germany

June 21, 2004

# Relational XPath Back-Ends

## Relational databases can efficiently back XPath evaluation.

- Encode XML structure using a numbering scheme.
  - “XPath accelerator” with *pre/post* tuples.
- Re-use existing database technology.
  - Storage management, index structures, query optimization.
- Support XPath evaluation through tailor-made operators.
  - “Staircase join” exploits properties of the *pre/post* encoding.
  - “Holistic” join algorithms to process entire XPath expressions.

## ⇒ Compile XQuery expressions into Relational Algebra.

# XQuery is More than XPath

## Important XQuery features are not yet covered.

- Iteration in XQuery FLWR expressions.
  - Contrary to set-oriented processing in relational databases?
- Construction of transient XML tree nodes.
  - Document table must be “extended” during query processing.

**This talk addresses the first issue.**

(The paper additionally covers the second.)

**The ideas are orthogonal to efficient XPath evaluation.**

# XQuery FLWOR Expressions

**XQuery is built around a looping primitive, the `for` construct.**

$$\begin{aligned} &\text{for } \$v \text{ in } (x_1, x_2, \dots, x_n) \text{ return } e \\ &\quad \equiv \\ &\quad (e[x_1/\$v], e[x_2/\$v], \dots, e[x_n/\$v]) \end{aligned}$$

- $\$v$  is successively bound to the values of  $x_j$ .
- The return expression  $e$  is evaluated for each binding.
- XQuery is a functional-style language.
  - ➡ It is sound to evaluate  $e$  for all bindings in parallel.

# The XQuery Data Model

## XQuery's basic data type is the sequence.

- Any expression evaluates to an **ordered sequence of items**.
- Sequences are always **flat**.

## We may represent a sequence using a two-column table.

<i>pos</i>	<i>item</i>
1	"a"
2	"b"
3	"c"

- Encode order in *pos*, the atom value in *item*.  
(For now, we assume a polymorphic `item` type.)

# Loop Lifting for Constant Subexpressions

We extend our sequence encoding by the column *iter* that accounts for the independent iterations.

<i>iter</i>	<i>pos</i>	<i>item</i>
1	1	10
1	2	20
2	1	10
2	2	20
3	1	10
3	2	20

## Example:

```
for $v0 in (1,2,3) return 10  
→ 10 appears in 3 iterations.
```

```
for $v0 in (1,2,3) return (10, 20)  
→ (10, 20) appears in 3 iterations.
```

We refer to this as the **loop lifted** representation of a sequence.

# Deriving a Loop Lifted Value Representation

We derive a compilation procedure that solely operates on loop lifted sequences.

**Example:** Body of `for $v in (10, 20, 30) return $v`

<i>iter</i>	<i>pos</i>	<i>item</i>
1	1	10
2	1	20
3	1	30

- Start with representation of (10, 20, 30).
- Generate a new iteration for each value.
- Each value forms a singleton sequence.  
(*pos* = 1 for each tuple)

The **row number** operator *g* in our algebra generates unique *iters*.

# Nested XQuery Expressions

**XQuery allows arbitrary expression nesting.**

- **Example:**

$$s \left\{ \begin{array}{l} \text{for } \$v_0 \text{ in } (1,2) \text{ return} \\ s_0 \left\{ \begin{array}{l} \text{for } \$v_{0.0} \text{ in } (10,20) \text{ return} \\ s_{0.0} \{ (\$v_0, \$v_{0.0}) \} \end{array} \right. \end{array} \right.$$

- We need to **map** value representations between scopes.
  - Variables defined in surrounding scopes.
  - The expression result of the for expression.



# Mapping Between Scopes

We capture expression nesting with help of a relation `map`.

- **Example:** (previous slide)

$$s \left\{ \begin{array}{l} \text{for } \$v_0 \text{ in } (1,2) \text{ return} \\ s_0 \left\{ \begin{array}{l} \text{for } \$v_{0.0} \text{ in } (10,20) \text{ return} \\ s_{0.0} \{ (\$v_0, \$v_{0.0}) \} \end{array} \right. \end{array} \right.$$

<i>outer</i>	<i>inner</i>
1	1
1	2
2	3
2	4

`map(0,0.0)`

- The relation lists corresponding *iter* values of a `for` body and its surrounding scope.
- Value mapping then renders into a join with this relation.
- We handle **arbitrary** nesting this way.

# Translatable Subset of XQuery Core

**We support an XQuery subset that suffices to handle the XMark benchmark set.**

literals	<code>42, "foo", (), ...</code>
arithmetics	<code><math>e_1 + e_2</math>, <math>e_1 - e_2</math>, ...</code>
builtin functions	<code>fn:sum(<i>e</i>), fn:count(<i>e</i>), fn:doc(<i>uri</i>)</code>
variable bindings	<code>let \$<i>v</i> := <math>e_1</math> return <math>e_2</math></code>
iteration	<code>for \$<i>v</i> at \$<i>p</i> in <math>e_1</math> return <math>e_2</math></code>
conditionals	<code>if <i>p</i> then <math>e_1</math> else <math>e_2</math></code>
sequence construction	<code><math>e_1</math>, <math>e_2</math></code>
function calls	<code><math>f</math> (<math>e_1</math>, <math>e_2</math>, ..., <math>e_n</math>)</code>
element construction	<code>element <math>e_1</math> { <math>e_2</math> }</code>
XPath steps	<code><math>e/\alpha::\nu</math></code>

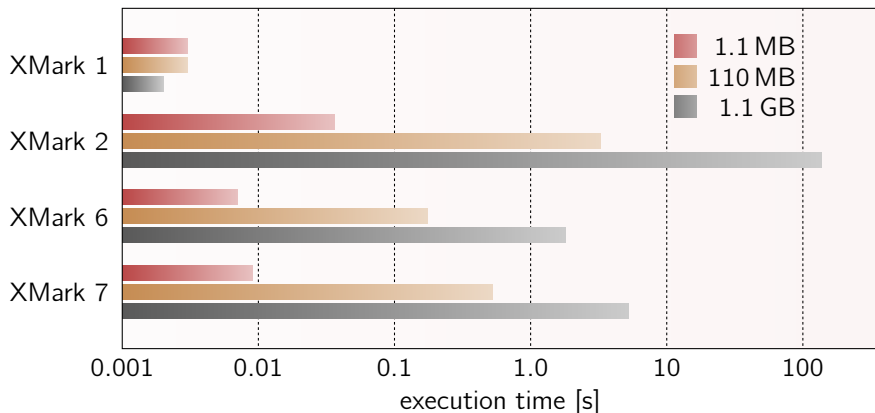
# A Relational Algebra to Evaluate XQuery

We generate a (almost) standard Relational Algebra.

$\pi_{a_1:b_1, \dots, a_n:b_n}$	projection/renaming
$\sigma_a$	selection
$\dot{\cup}$	disjoint union
$\times$	Cartesian product
$-$	difference
$\bowtie_{a=b}$	equi-join
$\rho_{b:\langle a_1, \dots, a_n \rangle / p}$	row numbering
$\lrcorner_{\alpha, \nu}$	XPath axis join
$\varepsilon$	element construction
$\otimes_{b:\langle a_1, \dots, a_n \rangle}$	$n$ -ary arithmetic/comparison operator $*$
$a b$	literal table
$count, \dots$	count and other aggregation functions

# XMark on DB2

We implemented our translation for XMark queries in SQL.



# Summary

## We propose a fully relational evaluation for XQuery.

- A compilation procedure translates XQuery expressions into Relational Algebra.
- We can handle FLWOR expression, element construction and others.
- We can deal with arbitrary expression nesting.
- Experiments with an SQL based DBMS are promising.
- This work is part of our ongoing project “Pathfinder.”
- Resulting algebra expressions, however, can get large. . .

